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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

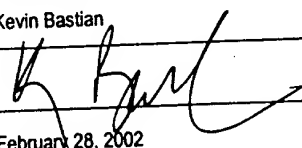
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TRANSMITTAL FORM MAR 13 2002 (to be used for all correspondence after initial filing) U.S. PATENT & TRADEMARK OFFICE	Application Number	09/438,185
	Filing Date	November 11, 1999
	First Named Inventor	Stephens, Richard S.
	Group Art Unit	1645
	Examiner Name	Duffy, Patricia A.
Total Number of Pages in This Submission	Attorney Docket Number	018941-000411US

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Fee Transmittal (1 pg. + 1 pg. copy) Amendment (4 pgs.), Version with Markings to Show Changes Made (2 pgs.); and Return Postcard
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm and Individual name	Townsend and Townsend and Crew LLP Kevin Bastian Reg. No. 34,774	
Signature		
Date	February 28, 2002	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 28, 2002		
Typed or printed name	Jill R. Clarke	
Signature	Date	February 28, 2002

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SF 1320709 v1

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PTO/SB/17 (09-00)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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COMPLETE IF KNOWN

Application Number	09/438,185
Filing Date	November 11, 1999
First Named Inventor	Stephens, Richard S.
Examiner Name	Duffy, Patricia A.
Group Art Unit	1645
Attorney Docket No.	018941-000411US

FEE TRANSMITTAL for FY 2001
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 920

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 20-1430

Deposit Account Name: Townsend and Townsend and Crew LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. **BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. **EXTRA CLAIM FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$920)

2. EXTRA CLAIM FEES

Total Claims: -20** = X =

Independent Claims: -3** = X =

Multiple Dependent: X =

or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Name (Print/Type)	Kevin Bastian	Registration No. (Attorney/Agent)	34,774	Telephone	415-576-0200
Signature		Date	February 28, 2002		

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TOWNSEND and TOWNSEND and CREW LLP

By: Jill R. Clarke
Jill R. Clarke

Attorney Docket No.: 018941-00041
Client Ref. No.: 198402

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PATENT
MAY 01 2002
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1645
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

STEPHENS *et al.*

Application No.: 09/438,185

Filed: November 11, 1999

For: CHLAMYDIA PNEUMONIAE
GENOME SEQUENCE

Examiner: Duffy, Patricia A.

Art Unit: 1645

U.S. Patent and Trademark Office
Box SEQUENCE
P.O. Box 2327
Arlington, VA 22202

Sir:

/ Due to secretarial error, the enclosed computer readable form of substitute sequence listing was inadvertently omitted from the Response to Notice to Comply with Requirements for Patent Applications Sequence Listing which was mailed on February 28, 2002. For ease of reference, enclosed is a copy of the Response to Notice to Comply as filed on February 28, 2002.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415-576-0200.

Respectfully submitted,

Kevin L. Bastian
Kevin L. Bastian
Reg. No. 34,774

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300



FILING ACKNOWLEDGMENT
US PATENT AND TRADEMARK OFFICE

Mailing Date: 02/28/02 Attorney: KLB/jrc
Date Due: 11/28/01 Appln 09/438,185

File No.: 018941-000411US

Inventor(s): Stephens et al.

Title:

Enclosures:

CHLAMYDIA PNEUMONIAE GENOME SEQUENCE

Transmittal Form PTO/SB/21 (1 pg.), Amendment (4 pgs.), Version
with Markings to Show Changes Made (2 pgs.); Sequence Listing
diskette, 1319 pages paper copy; Certificate of First Class Mailing;
Return mailroom postcard (this one).

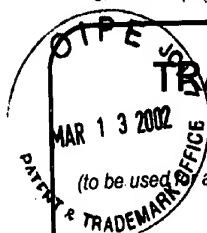
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PTO/SB/21 (08-00)
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Group Art Unit	1645
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Attorney Docket Number	018941-000411US
Total Number of Pages in This Submission	1

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Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
		Authorization to charge Deposit Account No. 20-1430 for the extension of time is being filed under separate cover with an Amendment

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Kevin Bastian	Reg. No. 34,774
Signature		
Date	February 28, 2002	

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